

Industrialised software for a controlled fund launching process using Invest | Pro™

Imagine if there was software that automated the launch of funds... and interacted with humans' at all of the appropriate places... and was rigorously controlled so that the board of directors could grow the company securely, while meeting regulatory requirements?

Well there is!

Welcome to Invest|Pro™ Fund Launcher from Financial Risk Solutions – with workflow specific for your operation.

Launching Funds

Many companies launch or re-launch funds regularly.

Invest|Pro™ Fund Launcher offers a secure SQL database platform to achieve this goal of launching funds in a timely way with rigorous in-built controls

A typical Fund launch process has a series of stages:

Marketing / Investments

- ✔ Defining exact asset make-up of the fund and market strategy, etc.

Finance

- ✔ Charges, rebates, dealing transmission process, settlement process

Actuarial

- ✔ Creation or cancellation pricing basis, guarantee (where relevant) algorithms, box management settings, Solvency II Capital management set up, etc.

Audit

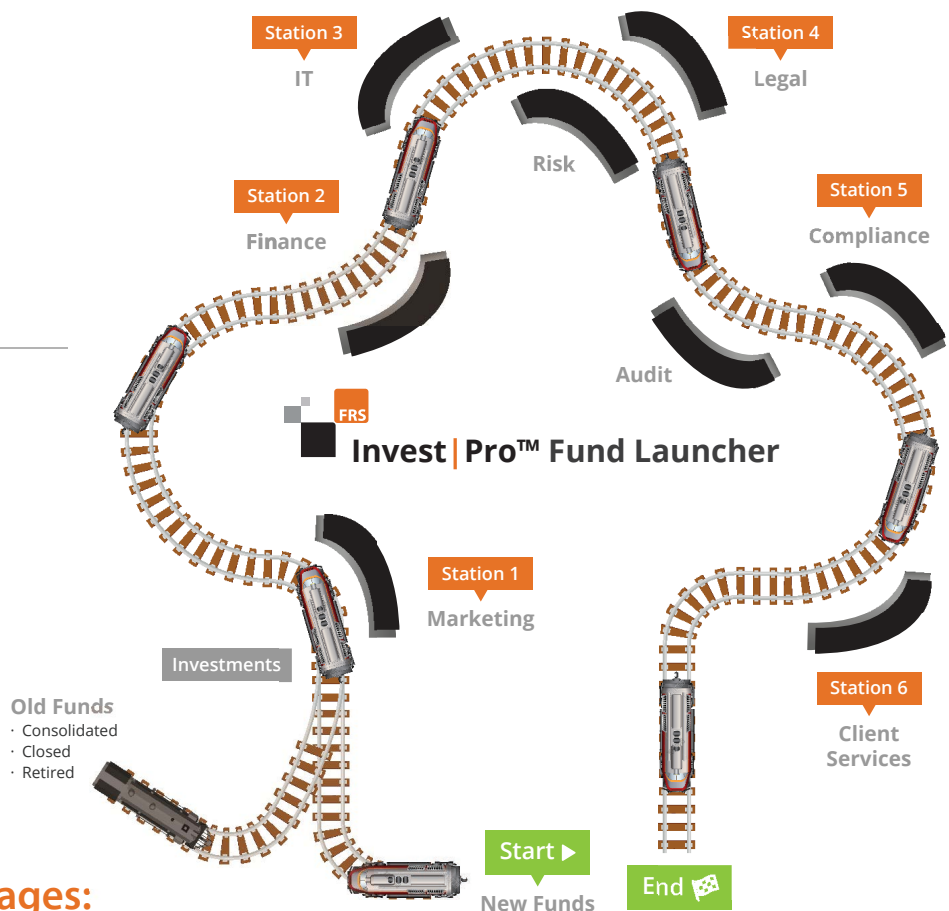
- ✔ General ledger Links, sign off, archiving and date-stamping of each NAV/unit price including back-up data, dealing process oversight, breach reports, etc.
- ✔ Business intelligence analysis

Compliance & Legal

- ✔ Regulatory reporting, internal management reporting, asset holdings including look-through details, investment committee instructions, etc.
- ✔ Ensure asset management agreements are in place, asset manager has been vetted, underlying assets satisfy the 'Prudent Person Principle' etc.

Risk

- ✔ Rules, breaches and oversight
- ✔ Business intelligence analysis



Old Funds
 · Consolidated
 · Closed
 · Retired



Invest | Pro™ Fund Launcher

Industrialised software for a controlled fund launching process.

Client Services

- ✔ Client reporting, charges, performance, etc.

IT & Investment administration

- ✔ Collecting static data
- ✔ Tracking and managing the data, data vendors, etc.

Using Invest | Pro™ Fund Launcher identifies any single sign off delaying the launching of funds for any reason with a view that such delays are temporary impediments which can be solved once identified.

Fund launch sign-off and oversight

Each stage of the fund launch and the steps within each have a pre-agreed sign off process and there is a constant throughput of activity relating to each fund and the detail therein.

One of the strengths of Invest|Pro™ Fund Launcher is that bottlenecks and hold-ups are swiftly identified in a non-political way so that disruption is minimal and temporary.

Business intelligence functionality provides peak and trough analysis to best manage these variances for each company and no fund can

be launched or re-launched until all of the appropriate pre-set sign off elements are completed.

In an industry with increasing regulation it is vital to have proper systems in place in order to mitigate risk while continuing to grow while launching funds in an appropriate manner.

Invest|Pro™ Fund Launcher was designed by Actuaries and technology visionaries, with a full understanding of the fund launch process.

About Financial Risk Solutions Ltd (FRS)

FRS licences software for unit pricing/NAV calculation, asset/liability unit matching, Solvency II asset QRTs, fund launching and compliance oversight to the life assurance and asset management industries. FRS's Invest|Pro™ and Invest|GRC™ is used by thirty life companies and five outsourcers in EMEA.

Learn more Visit www.frsLtd.com to learn more about licensing FRS software.